



Policy for Dormant and Inactive Client Account:

Definition of Inactive Trading accounts:

In case of trading account, the term Inactive account refers to such account wherein no trades have been carried out since last 12 (Twelve) months across all Exchanges.

Treatment in Inactive Trading accounts:

The Inactive accounts identified based on the above criteria shall be flagged as 'Inactive' by Samurai Securities Private Limited (SSPL) in back office and UCC database of all the respective Exchanges.

Reactivation of Inactive Trading Accounts:

SSPL shall be required to undertake reactivation letter, the update documents (whichever required), due diligence and IPV where a client is coming for reactivation after a period of 1 year of being flagged as inactive. However, in case a client has undertaken transaction through SSPL, with respect to IPO/Mutual Fund subscription and DP operations during this period, the same can be considered and the requirement for fresh documentation, due diligence, and IPV may not be required, merely client needs to submit reactivation letter to SSPL at registered office address or email can be sent on gthanvi@samuraisec.co.in from its registered email with us.

Notwithstanding anything contained above, in case a client seeks re-activation before a period of 1 year of being flagged as inactive, SSPL shall, while reactivating the client, ensure that the basic details of such client like Address, Mobile number, Email ID, Bank/DP account are updated in its records as well in the UCC records of the Exchange. In case of any changes, necessary documents shall be collected. SSPL shall also ensure that appropriate due diligence of the client is conducted on an ongoing basis in compliance with the provisions of the PMLA guidelines issued from time to time and in accordance with their respective KYC policies.

Further, if client submits reactivation request through either letter or email from registered email address (pre or post get flag as Dormant/Inactive), then SSPL shall keep such account active for next 365 days.